Exhibit 14

(Deposition of Albert D. Hoffman)

IN THE UNITED STATES DISTRICT COURT FOR THE EASTERN DISTRICT OF PENNSYLVANIA

ROAD-CON, INC., NESHAMINY : CIVIL ACTION

CONSTRUCTORS, INC., LOFTUS : NO. 2:19-cv-01667-JS

CONSTRUCTION, INC.,

PKF-MARK III, and SCOTT A.

LACAVA

Plaintiffs, :

-V-

_ **v** _

CITY OF PHILADELPHIA and :
JAMES KENNEY, IN HIS :
OFFICIAL CAPACITY AS MAYOR :
OF THE CITY OF PHILADELPHIA :

Defendants. :

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Friday, September 25, 2020

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ORAL ZOOM DEPOSITION OF ALBERT D.

HOFFMAN, taken pursuant to the Subpeona, held remotely and by Zoom videoconference in Pennsylvania, commencing at 9:00 a.m., before SHARON RICCI, RMR, CRR - Notary Public there being present.

APPEARANCES:

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ALSO PRESENT: Karli Ramirez, Intern for City of Phila

Thomas Isenberg, Rep for PKF-Mark III

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EXAMINATION BY:		
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1 COURT REPORTER: Counsel, I will 2. read a stipulation into the record. bear with me, as it is long. 3 4 It is hereby stipulated and agreed 5 by and between counsel for all parties present 6 that pursuant to 231 Pa. Code 4002 this deposition is being conducted by Zoom conference, that the court reporter, all 8 9 counsel, and the witness are all in separate 10 remote locations and participating via Zoom conference meeting under the control of 11 12 Strehlow & Associates Court Reporting Service, that the officer administering the oath to the 13 14 witness need not be in the place of the 15 deposition and the witness shall be sworn in 16 remotely by the court reporter after 17 confirming the witness's identity, that this 18 Zoom conference will not be recorded unless 19 previously noticed as a videotaped deposition 20 and that any recording without the express 21 written consent of all parties shall be 22 considered unauthorized, in violation of law, 23 and shall not be used for any purpose in this 2.4 litigation or otherwise.

25

It is further stipulated that

- 1 exhibits may be marked by the attorney
- 2 presenting the exhibit to the witness, and
- 3 that a copy of any exhibit presented to a
- 4 witness shall be emailed to or otherwise in
- 5 possession of all counsel prior to any
- 6 questioning of a witness regarding the exhibit
- 7 in question. All parties shall bear their own
- 8 costs in the conduct of this deposition by
- 9 Zoom conference.
- 10 So stipulated, counsel?
- 11 MS. FURST: Lydia Furst, counsel
- 12 for the City of Philadelphia. And, yes, I
- 13 agree to the stipulation.
- 14 MR. WEINERT: Michael Weinert,
- 15 counsel for intervenors. Yes, I agree to the
- 16 stipulation.
- 17 MR. ZIMOLONG: And counsel for
- 18 plaintiffs, Wally Zimolong. We stipulate.
- 19 MS. KIRBY: And Amy Kirby, attorney
- 20 for the City of Philadelphia. We agree to the
- 21 stipulation.
- MR. ZIMOLONG: Real quick, Lydia is
- 23 on and Amy is on as well. Just to be clear,
- 24 there's only one attorney doing the
- 25 questioning today?

Page 6 1 Yes. Lydia is handling MS. KIRBY: 2 this morning, I'm going to be handling this 3 afternoon. 4 MR. ZIMOLONG: Fair enough. 5 ...ALBERT HOFFMAN, after having 6 been first duly sworn, was examined and testified as follows: 8 DIRECT EXAMINATION 9 10 BY MS. FURST: 11 12 Good morning, Mr. Hoffman. My name is Lydia Furst, I'm an attorney for the City of Philadelphia, and 13 14 I'm representing the defendants in this case, along with my co-counsel, Amy Kirby. 15 16 Mr. Hoffman, have you ever been deposed before? 17 Yes. 18 Α. 19 Ο. Okay. So I'll just run through the ground rules 20 really, really quickly. As you already know, the court reporter is here taking down everything everyone says, 21 so rather than nodding your head yes or no, you'll need 22 23 to provide a verbal answer to questions. 2.4 We should still, even though we're doing this 25 remotely, try to avoid crosstalk so that everything is

- 1 clear to the court reporter. So I will do my best to
- 2 avoid interrupting you, and I just ask that you do the
- 3 same for me.
- If, at any time, you need to take a break,
- 5 please just let us know and we can do that. I would
- 6 only ask that you not take a break in the middle of a
- 7 question pending, so wait until you've answered the
- 8 question and ask to take a break, and we can do that at
- 9 any time.
- 10 Does that all sound okay?
- 11 A. That's fine.
- 12 Q. Okay. And, Mr. Hoffman, is there any reason that
- 13 you cannot answer questions truthfully today?
- 14 A. No.
- 15 Q. Okay. What is your current job?
- 16 A. I'm the president of Road-Con Incorporated, heavy
- 17 and highway contractor in West Chester, PA.
- 18 Q. And how long have you held that position at
- 19 Road-Con?
- 20 A. As president, for approximately three years.
- Overall, I've been with the company 25 years.
- 22 Q. And just briefly, can you describe for me what
- 23 your duties are as president of Road-Con?
- 24 A. Overall supervision and oversight of the entire
- 25 company's operations, including procurement, management

- 1 of the projects, management of our resources and shop,
- 2 and general accounting functions.
- 3 Q. And what position did you hold prior to
- 4 president?
- 5 A. Vice president.
- 6 Q. Okay. And can you again just briefly describe
- 7 what your duties were as vice president of Road-Con?
- 8 A. Same overall duties except I had a superior at
- 9 that time.
- 10 Q. Okay. And how long were you in the position of
- 11 vice president for?
- 12 A. Approximately 20 years.
- 13 Q. As president, do you have a role in choosing
- 14 which contracts Road-Con will bid on?
- 15 A. I do.
- 16 Q. And as vice president, did you also have a role
- in choosing which contracts Road-Con bids on?
- 18 A. Yes, I did.
- 19 Q. Going back to your role as president, is there
- 20 anyone else at Road-Con who's involved in the
- 21 decision-making upon choosing which projects Road-Con
- 22 will bid on?
- 23 A. My chief estimator, Murray Blaker, would suggest
- 24 projects for us to target, however, I have the final say
- 25 whether we move ahead or not.

- 1 Q. Okay. And then in your role as vice president,
- 2 who else was involved in making decisions as to which
- 3 projects Road-Con would bid on?
- 4 A. The current president at that time, Edward J.
- 5 McKenna.
- 6 Q. And was the chief estimator also involved in
- 7 decision-making around bidding while your were vice
- 8 president?
- 9 A. At the time he came on board, which was
- 10 approximately four to five years ago, yes, at that point
- 11 he was involved. Prior to that, he was not in
- 12 the -- the position was not here at Road-Con.
- 13 O. Okay. So just to clarify, until five years ago,
- 14 there was no estimator at Road-Con?
- 15 A. No, there was no chief estimator's position. I
- 16 would take care of the estimating, along with other
- 17 project management staff, and then we added the position
- 18 of chief estimator.
- 19 O. When did Road-Con commence business?
- 20 A. The company was incorporated in 1993.
- 21 Q. And sorry if I'm doing the math wrong, but does
- 22 that mean you've been with the company since it was
- 23 founded?
- 24 A. Shortly thereafter, yes.
- 25 Q. Is Road-Con a member of the Pennsylvania Heavy

- 1 and Highway Contractors Bargaining Association?
- 2 A. We are.
- 3 Q. And when did Road-Con become a member of that
- 4 association?
- 5 A. Upon inception of the company, in 1993. The
- 6 company was a buy -- or transfer from another company,
- 7 Rocon, R-O-C-O-N. That company was a member of the
- 8 Bargaining Association, therefore, the new company
- 9 continued in that fashion.
- 10 Q. Okay. And is Road-Con still a member of that
- 11 association?
- 12 A. Yes.
- 13 Q. Were there ever any lapses in Road-Con's
- 14 membership in that association?
- 15 A. No.
- 16 Q. So you've mentioned the term "heavy and highway."
- 17 Can you just describe for me what type of
- 18 construction work does that term include?
- 19 A. That would include road and street construction,
- 20 airports, bridgework, sewer treatment plants, all --
- 21 that's what we classify as heavy construction, in lieu
- of what it does not include, such as, commercial and
- 23 residential building.
- Q. How much of Road-Con's business is Public Works
- 25 projects?

- 1 A. Over 95 percent.
- 2 Q. What is a typical contract amount for Road-Con?
- 3 A. Our contracts range from \$200,000 to \$60 million,
- 4 however, our average size would most likely be in the 5
- 5 to \$15 million range.
- 6 Q. Okay. Mr. Hoffman, I would just like to show you
- 7 what I've previously marked as Hoffman Exhibit 1. And
- 8 I -- I don't know if you have this yet, but I did email
- 9 it around to counsel last night.
- 10 MR. ZIMOLONG: I haven't shared any
- of the documents with the witness. I got them
- late last night. I'm just seeing them now.
- So you would have to share the screen.
- 14 MS. FURST: What I may do -- unless
- the court reporter tells me this is not a good
- idea -- is to put it in the chat. I think
- that just might be smoother. Let me see if I
- 18 can do that.
- 19 MR. ZIMOLONG: Off the record.
- 20 _ _ _ _
- 21 (A brief discussion was held off
- 22 the record.)
- 23
- MS. FURST: Okay. I apologize for
- 25 the delay, everyone. I had to rotate the

Page 12 1 image so it was not sideways. 3 (Exhibit Hoffman 1 marked for 4 identification.) 5 6 BY MS. FURST: Mr. Hoffman, can you see this document on the screen? 8 9 Α. Yes, I can. 10 Okay. And this has been marked as Hoffman 1. 11 And is this familiar to you, Mr. Hoffman, this 12 document? That looks like one of our status of contract 13 Α. 14 sheets that we often put in when an owner requests qualifications or current backlog. 15 Okay. So starting on the first page, it says at 16 Ο. 17 the top, 2019 contracts. And then looking at the contract prices, is it accurate that all of the contract 18 19 prices on this page are under one million? 20 Α. On that page, yes. 21 Okay. And then we'll go to the next page, which 22 is 2018 contracts. 23 And then there is it accurate that the contract 2.4 listed in the very first row is for 12 million and 25 change, and the rest of the contracts on that page for

- 1 2018 are for 2 million or less; is that right?
- 2 A. That's correct.
- 3 Q. And then just one more page. Let's just look at
- 4 the third page, which is 2017 contracts.
- 5 So just to confirm here, the second row from
- 6 the top is a contract for approximately \$3 million; is
- 7 that right?
- 8 A. Yes.
- 9 Q. Just over \$3 million.
- 10 And then three rows below that, there's a
- 11 contract for underlying \$5 million; is that right?
- 12 A. That is correct.
- 13 Q. And then if you scroll down to the bottom, the
- 14 second row from the bottom is a contract for
- 15 approximately \$3 and a half million; is that
- 16 correct?
- 17 A. That is correct.
- 18 Q. But the rest of these contracts reflected on this
- 19 page for 2017 are all less than \$3 million; is that
- 20 right?
- 21 A. Yes.
- 22 Q. Okay. And is this document a summary of all of
- 23 Road-Con's contracts for these years?
- 24 A. Depending on when that document was printed, it
- 25 may or may not be all-inclusive. For example, 2019 does

- 1 not appear to be all-inclusive.
- We generally have 20 or more contracts per
- 3 year. So that looks to be an incomplete document. It
- 4 may have been printed during the course of 2019.
- 5 Q. Understood. So setting aside 2019, does this
- 6 appear to be accurate for the years 2018 or 2017?
- 7 A. To the best of my knowledge, yes. I don't
- 8 believe I can remember every project off the top of my
- 9 head. It appears to be complete.
- 10 Q. Okay. I'm going to end the screenshare.
- 11 So, Mr. Hoffman, is it fair to say that
- 12 Road-Con has many contracts under \$3 million?
- 13 A. Yes, we have a good portion that fall under 3
- 14 million, depending on market conditions and the
- 15 availability of work, yes.
- 16 Q. You said that Road-Con is located in West
- 17 Chester; is that correct?
- 18 A. That is our home office location, yes.
- 19 Q. In what states does Road-Con work?
- 20 A. Pennsylvania, New Jersey, Delaware, occasionally
- 21 Maryland, although we have not been down in Maryland for
- 22 quite some time.
- 23 Q. Okay. Anywhere else?
- 24 A. No. That's generally our geographical area.
- 25 Q. Focusing on Pennsylvania, what parts of

- 1 Pennsylvania does Road-Con work in?
- 2 A. Generally we target east of the Susquehanna River
- 3 or the Harrisburg area, so the eastern half of PA, and
- 4 traveling north up generally to Pocono-type region, the
- 5 mountain region. Although we do expand further than
- 6 that on occasion -- we have done projects outside of
- 7 that area -- that is our normal target area of
- 8 Pennsylvania.
- 9 Q. So when working in any of the counties or states
- 10 outside of Philadelphia, has Road-Con ever signed on to
- 11 a project labor agreement?
- 12 A. No.
- 13 Q. Has Road-Con ever bid on a project that required
- 14 the successful bidder to sign on to a project labor
- 15 agreement?
- 16 A. No, we have not.
- 17 Q. Does Road-Con monitor Philadelphia invitations to
- 18 bid on project labor agreements?
- 19 A. We monitor Philadelphia, as with all the other
- 20 owners, for overall projects. We review the project to
- 21 see if there is a project labor agreement before
- 22 proceeding.
- 23 Q. Who does that monitoring or review?
- 24 A. Myself or my chief estimator, Murray Blaker.
- 25 Q. Okay. What factors does Road-Con consider when

- 1 determining whether to bid on a City of Philadelphia
- 2 Public Works project?
- 3 A. Same as most other projects. It would be the
- 4 need that we have for capacity and the type of work it
- 5 is at the time.
- 6 For example, the company does bridgework, it
- 7 does roadwork, it does pipework. Those are all
- 8 different resources. The leaders of those crews are
- 9 specialized in those areas. So, for example, at one
- 10 given time of the year we may be full capacity on
- 11 pipework, but we may be light on bridgework, so we would
- 12 look for a bridge project to fill that gap.
- So it's not carte blanche. In other words, we
- 14 just continue to bid work. We look for the discipline
- 15 that we're short on at the time or to fill in the gap or
- 16 schedules for that year.
- 17 Q. Do you consider the estimated cost of the
- 18 contract or the contract amounts in determining whether
- 19 to bid on a project?
- 20 A. Yes, we look at the size of the project and we
- 21 also look at the time of it, the duration of the
- 22 project.
- 23 For example, a \$10-million job that needed to
- 24 be done in one year may or may not fit our schedule,
- 25 versus a \$10-million job that spans over two years.

- 1 So size and time and the discipline of the work
- 2 all come into factors as to whether or not we make a
- 3 decision to bid.
- 4 Q. And is there a minimum contract amount that
- 5 you're looking for when you're reviewing Philadelphia
- 6 Public Works projects?
- 7 A. No, we don't set a hard minimum. We see what
- 8 fits at that time.
- 9 Q. Anything else you can think of that you have to
- 10 consider when deciding whether to place a bid on a
- 11 Philadelphia Public Works project?
- 12 A. The only other item I would think of is if we
- 13 need -- the load on our estimating department at that
- 14 time is to the point where we have to make a decision
- 15 whether we target all projects available or we have to
- 16 pick and choose because we simply don't have enough time
- 17 to price all the projects.
- 18 Q. How much time is typically required for the
- 19 estimating department to put together a bid?
- 20 A. That's a complex question to answer. It depends
- 21 on the complexity of the project, the type of work it
- 22 is. For example, a straight asphalt overlay project is
- 23 fairly simple for the estimators to put together,
- 24 whereas a complex bridge project, in the middle of the
- 25 city, with a lot of utilities, that would take a lot

- 1 more time.
- 2 So they can range from a couple of days, to put
- 3 a price together, to a few weeks.
- 4 Q. Do you consider the City of Philadelphia one of
- 5 Road-Con's clients?
- 6 A. We consider them an available owner for us to
- 7 work for. We have targeted the City of Philadelphia
- 8 projects in the past when available. We do work in the
- 9 city when we're working for PennDOT. Often PennDOT will
- 10 be the owner on the project, so we go into the city
- 11 limits quite often.
- 12 The -- obviously, the PLA in the past has
- 13 hampered some of our ability to go in and work directly
- 14 for the City.
- 15 Q. Are you familiar with the 15th Street Bridge
- 16 project that's discussed in the complaint in this case?
- 17 A. I am, yes.
- 18 Q. Are you aware that the PLA requirement was
- 19 removed for that project?
- 20 A. Yes, I was.
- 21 Q. And did Road-Con ultimately bid on that project
- 22 after the PLA was removed?
- 23 A. I believe we did, and I believe we were second
- 24 place bidder out of five or so bidders.
- 25 Q. And are you familiar with the runway project

- 1 that's also discussed in the complaint in this case?
- 2 A. Yes. Yes, I am.
- 3 Q. And are you aware that the PLA requirement was
- 4 removed for that project?
- 5 A. Yes, I was.
- 6 Q. Do you know if Road-Con bid on that project after
- 7 the PLA was removed?
- 8 A. We did. We were less competitive on that project
- 9 due to the nature of the project, but we did place a bid
- 10 on the project.
- 11 Q. So prior to the time that the complaint in the
- 12 case was filed in April of 2019, did Road-Con bid on
- 13 City of Philadelphia Public Works projects?
- 14 A. We have in the past, yes. We've bid on City of
- 15 Philadelphia and the Philadelphia Airport projects in
- 16 the past.
- 17 Q. Okay. And can you give me sort of a timeframe of
- 18 when you recall Road-Con bidding on City of Philadelphia
- 19 Public Works projects?
- 20 A. I cannot state a date, which dates we bid on
- 21 projects over the years. For example, we have 30
- 22 projects currently on our bid list that generally carry,
- 23 so we bid a host of projects every year. The timing, I
- 24 wouldn't be able to -- I would be guessing.
- 25 Q. Do you believe that Road-Con has placed bids on

- 1 City of Philadelphia projects within the last five
- 2 years?
- 3 A. I believe so. But, again, I'm guessing to some
- 4 extent of the timing.
- 5 Q. Okay. Has Road-Con ever been a successful bidder
- 6 on a Philadelphia Public Works project?
- 7 A. I cannot recall if we ever worked directly for
- 8 the City under their Public Works program. Again, we
- 9 worked in the city for other owners, public owners,
- 10 SEPTA and PennDOT, but I cannot recall. I cannot
- 11 recall. I am sorry.
- 12 Q. Has Road-Con ever refrained from bidding on a
- 13 city Public Works projects for a reason other than a PLA
- 14 requirement?
- 15 A. I am sure there is an instance where we analyze
- 16 the project and did not like the level of risk or the
- 17 timing with the schedule and we declined. We do that on
- 18 many projects, for many owners. We begin to estimate
- 19 the project.
- 20 And if, during the course of reviewing it, we
- 21 find items that we're not comfortable with, then we will
- 22 discontinue and not price the project.
- 23 Q. I want to move now to, sort of, projects that
- 24 post-date the 15th Street and runway project.
- So do you know if Road-Con has bid on any

- 1 City of Philadelphia Public Works projects after April
- 2 2019?
- 3 A. We have. We have bid on -- two, off the top of
- 4 my head, were what they call green stormwater
- 5 improvement projects, and I can't recall if there was
- 6 another bridge project in there or not. But we have bid
- 7 directly to the City on a couple projects since that
- 8 time.
- 9 Q. Okay. And was Road-Con the successful bidder on
- 10 any of those projects?
- 11 A. We were not.
- 12 Q. Other than -- just setting aside the 15th Street
- and runway projects for a moment, has Road-Con refrained
- 14 from submitting bids on other Public Works projects
- 15 because they include a project labor agreement?
- 16 A. Yes, we have.
- 17 Q. Which projects were those?
- 18 A. There was a series of projects at the
- 19 Philadelphia Airport, which we inquired about, saw there
- 20 was a PLA, and declined bidding because we cannot enter
- 21 into a PLA with our current workforce.
- 22 Q. And this is a separate airport project from
- 23 the runway project, that's the subject of the complaint?
- 24 A. That is correct, yes.
- 25 Q. Do you recall what year that project is from?

- 1 A. There have been a couple projects over the
- 2 last -- each of the last three years at the Philadelphia
- 3 Airport, which we have declined because they included a
- 4 PLA.
- 5 Q. At the time you declined those projects, did you
- 6 reach out to anyone in the City to -- or the airport to
- 7 let them know about Road-Con's interest in bidding?
- 8 A. We inquired to the point of asking if the project
- 9 had a project labor agreement. Once they said it did,
- 10 we declined. On a couple occasions they said it did
- 11 not, we proceeded.
- 12 Q. Has Road-Con ever bid on a City project that
- 13 requires a PLA?
- 14 A. No.
- 15 Q. How many employees does Road-Con have?
- 16 A. Well, our employees vary in total because we are
- 17 seasonal to some effect. The management staff and the
- 18 tradespeople included would total approximately 100 to
- 19 120, depending on the volume each year.
- 20 Q. Okay. And let's start with management staff.
- 21 How many employees do you have under that
- 22 category?
- 23 A. All inclusive, approximately 30.
- 24 Q. And are those employees full-time employees?
- 25 A. Yes, the management staff are all full-time

- 1 employees.
- 2 Q. And are they permanent employees?
- 3 A. Yes.
- 4 Q. So they're not seasonal employees, correct?
- 5 A. That's correct.
- 6 Q. Now for tradespeople, approximately how many
- 7 tradespeople?
- 8 A. Again, it varies season to season. Anywhere from
- 9 60 to 90, depending on the workload and type of work
- 10 that we have. For example, bridgework tends to take
- 11 more people than roadwork. If we're a little heavier on
- 12 bridgework, that would increase our volume of
- 13 tradespeople that particular year.
- 14 Q. Okay. And the Road-Con tradespeople, are they
- 15 permanent employees or temporary employees or seasonal
- 16 employees?
- 17 A. They're provided to us from the United
- 18 Steelworker hiring vault under the collective-bargaining
- 19 agreement, so they are permitted to come and go. For
- 20 example, as they have a layoff, they can be reassigned
- 21 to a different steelworker company.
- 22 Q. So some of the tradespeople that work at
- 23 Road-Con also work for other contractors; is that
- 24 correct?
- 25 A. Other contractors under the Pennsylvania Heavy

- 1 and Highway Contractors bargaining agreement, yes.
- 2 Q. Are all of Road-Con's employees members of the
- 3 United Steelworkers Union?
- 4 A. Can you repeat that for me?
- 5 Q. Sure. Are all of Road-Con's employees members of
- 6 the United Steelworkers?
- 7 A. No, the management people would not be, only the
- 8 trade folks we talked about.
- 9 Q. Apologies. There's some background noise where
- 10 I'm at.
- 11 Are Road-Con's management employees members of
- 12 any union?
- 13 A. No, they are not.
- 14 Q. Are all of the tradespeople who work for Road-Con
- 15 members of the United Steelworkers?
- 16 A. Yes, they are.
- 17 Q. I'm going to share my screen again and show you
- 18 what I've previously marked as Hoffman Exhibit 6.
- Just one second, please.
- MS. FURST: Okay. This is Hoffman
- deposition Exhibit 6.
- 22 - -
- 23 (Exhibit Hoffman 6 marked for
- identification.)
- 25 - -

- 1 BY MS. FURST:
- 2 Q. And, Mr. Hoffman, this is your affidavit from a
- 3 motion that your counsel recently filed.
- 4 Do you want to take a quick look at this?
- 5 Just take a moment.
- 6 A. I'm familiar with the document.
- 7 Q. Okay. And have you -- let's look at paragraph 6,
- 8 which says Road-Con -- sorry, paragraph 10, which says
- 9 that the employees of Road-Con have chosen the United
- 10 Steelworkers to be their bargaining representative.
- 11 A. Okay.
- 12 Q. What do you mean when you say that "They have
- 13 chosen the United Steelworkers to be their bargaining
- 14 representative"?
- 15 A. The employees have the option to be union or to
- 16 not be union. As I said, from the inception of the
- 17 company, the current company, was a purchase of an
- 18 original company founded back in 1969. The employees
- 19 back at that date, I can't testify to that or how that
- 20 came about, procured the United Steelworkers or joined
- 21 the United Steelworkers.
- 22 And with that, they maintain that status to
- 23 current day, including through the buyout of when Rocon
- 24 bought Road-Con.
- 25 They are -- I don't -- yep, I don't know what

- 1 else I can explain to it.
- 2 Q. Okay. Could the employees of Road-Con choose
- 3 another union in the future to represent them?
- 4 A. We have an attorney, Andrew Levi, which
- 5 particularly advises me on the terms and conditions of
- 6 the bargaining agreement between us and the United
- 7 Steelworkers. I would say I would look to him to tell
- 8 me how that process would take place.
- 9 I would imagine it would have to be a group
- 10 move. In other words, the individual employees cannot
- 11 choose to go to another union. They're not allowed to
- 12 participate in dual unions.
- But I would imagine that under a certain
- 14 scenario, the employees as a collective group could vote
- 15 the union out, but I'm not sure. I would have to refer
- 16 to my attorney for that.
- 17 Q. Okay. Thanks. Let's go down to paragraph 22 of
- 18 your affidavit. And I'll read it.
- 19 "Because Road-Con maintains a
- 20 collective-bargaining agreement with United
- 21 Steelworkers, it cannot perform work on any City of
- 22 Philadelphia Public Works subject to a project labor
- 23 agreement with its current workforce."
- 24 What does the phrase "current workforce" mean
- 25 in this paragraph?

- 1 A. It would mean the United Steelworkers.
- 2 Q. Does it include both Road-Con's management
- 3 employees and the seasonal tradespeople employees?
- 4 A. No. I would say my statement to current
- 5 workforce would be attributed to the seasonal
- 6 tradespeople. That's what we consider our workforce,
- 7 our direct force that executes the work.
- 8 Q. Could Road-Con decide to disaffiliate from the
- 9 Steelworkers?
- 10 A. I would have to refer again to my attorney,
- 11 Andrew Levi, who handles that, and what that process
- 12 would be. It has not been explored, so I can't really
- 13 answer the question.
- 14 Q. Road-Con can perform work on Philadelphia Public
- 15 Works projects that are not subject to a project labor
- 16 agreement with its current workforce, correct?
- 17 A. Yes. Yes. That's why we continue to attempt to
- 18 procure work from the City.
- 19 Q. Have you ever asked any employee of Road-Con to
- 20 change their union membership so that Road-Con can bid
- 21 on a City of Philadelphia Public Works projects that
- 22 require a PLA?
- 23 A. We have not asked the employees that, no.
- 24 Q. And why not?
- 25 A. We are under agreement that, to my knowledge,

- 1 prohibits that.
- 2 Q. And is that the agreement -- let me go back
- 3 to -- is that the agreement that the Pennsylvania Heavy
- 4 and Highway Contractors Bargaining Association entered
- 5 into with the Steelworkers?
- 6 A. Yes. The Heavy and Highway Contracting
- 7 Bargaining Association agreement, at the beginning,
- 8 explains in the agreement the coverage area for that
- 9 agreement, and it includes Philadelphia.
- 10 Q. Would you bid on a City of Philadelphia Public
- 11 Works project that required a PLA if the United
- 12 Steelworkers were a party to the PLA?
- 13 A. That would require a review of the terms of the
- 14 PLA. If the PLA agreement incorporated the
- 15 collective-bargaining agreement from the Pennsylvania
- 16 Heavy and Highway Contractors Bargaining Association,
- 17 then we could consider it.
- 18 Otherwise, I would have to refer to my
- 19 attorney, Andrew Levi, to see if we could work under the
- 20 terms of the PLA if it included the Steelworkers, but
- 21 the terms did not mimic our current
- 22 collective-bargaining agreement. So it's sort of a
- 23 complex question.
- 24 Q. Understand. Let me ask it in a less complex way.
- 25 Would you bid on a City of Philadelphia Public

	Pa
1	Works project that required a PLA if you could still use
2	Road-Con's current workforce for the project?
3	MR. ZIMOLONG: I'm going to object
4	to the extent that requests a hypothetical,
5	but the witness can clearly answer.
6	THE WITNESS: Yes. Again, there
7	are a couple considerations with that. If we
8	could use our current workforce and maintain
9	our current Health and Welfare Pension
10	programs and not have to duplicate pay or ask
11	our employees to forego their benefits,
12	that's as I stated, it is a bit of a
13	complex question.
14	MS. FURST: Okay. Thank you,
15	Mr. Hoffman.
16	I think we should take about a
17	ten-minute break. I may I'm either done or
18	will have just a very short amount of
19	questions left after the break. And then I
20	think intervenors may have questions as well,
21	but I think now would be a good time to take a
22	brief break.
23	MR. ZIMOLONG: Just a quick
24	we're off we can go off the record for
25	this.

Page 30 1 2 (A brief discussion was held off 3 the record.) 4 5 BY MS. FURST: 6 Ο. As president of Road-Con, do you have any say in whether your employees join a union? No, I do not, not under the current situation. 8 Α. 9 We are signatory to the bargaining agreement, and I do 10 not have a choice to tell the employees to join or not 11 join the union. 12 As they are hired, they are immediately signed into the union if they're hired for a trade position. 13 14 Ο. And do you have any say in whether Road-Con continues to be a member of the association that has 15 entered into the agreement with the Steelworkers? 16 17 The association renews its contract with the United Steelworkers every three years. There is an 18 19 opportunity to exit from the association. 20 And do you have a say in whether Road-Con takes 0. 21 an -- takes that opportunity to exit the association? 22 Yes, I do. Α. 23 MS. FURST: That's all for me for 2.4 now. 25 MR. WEINERT: I just have a few

Page 31 1 questions. 2 3 CROSS-EXAMINATION 4 5 BY MR. WEINERT: 6 Ο. Mr. Hoffman, my name is Michael Weinert. represent the intervenors in this matter, the National Electrical Contractors Association and the Mechanical 8 9 Service Contractors Association. 10 And previously you testified that you have been on two projects for the City since April of 2019. 11 12 Did those two projects have PLAs on them? 13 Mike, actually, after the question was asked, I Α. 14 had the opportunity to refresh my memory a little bit. 15 It's actually been more than those two projects. In all cases, the projects did not include a 16 17 In one case we did on a project, which I did not mention, which was bid through PennDOT but the owner was 18 19 the City of Philadelphia. It was for a green stormwater 20 management installation. We were a low bidder on that project. The City would ultimately have to sign the 21 contract with us. They did not react for the 60 days 22 23 and the bid expires at 60 days. 2.4 When inquired as to why they were not entering 25 into the contract, they said they had some

- 1 administrative issues and it was going to take longer,
- 2 if we wanted to extend the time to award, we could,
- 3 under the condition that the end of the contract was not
- 4 changed and the price was not changed.
- 5 Well, obviously, once 60 days goes by, all our
- 6 pricing expires with our vendors. And not knowing when
- 7 we could expect to get an award from the City, we had to
- 8 walk away at that point.
- 9 That's actually happened on two occasions over
- 10 the last five years where we bid and we were a low
- 11 bidder and the City failed to execute the contract
- 12 within the 60-day limit that's in that contract for the
- 13 City.
- 14 The other project was at the Philadelphia
- 15 Airport. It did not include a PLA. It was to put some
- 16 safety bollards in front of the over -- or in front of
- 17 the terminal. And, again, the 60 days was allowed to
- 18 expire without them signing the contract with us.
- 19 Q. So that first PennDOT project, that project did
- 20 have a PLA on it, correct?
- 21 A. No, it did not.
- 22 Q. Okay.
- 23 A. None of the projects I talked about had a PLA.
- 24 Q. So you've never been on a project for the City
- 25 with a PLA and been the successful bidder and then

- 1 reached the point where you would have signed the
- 2 PLA?
- 3 A. That is correct, and we've never been in that
- 4 situation.
- 5 Q. Okay.
- 6 MR. WEINERT: That's all the
- questions I have.
- 8 MR. ZIMOLONG: I just have -- do
- 9 you have anything, Lydia?
- 10 MS. FURST: Thanks, Wally. I was
- just going to follow up on a couple things
- 12 real quickly.
- 13 - -
- 14 REDIRECT EXAMINATION
- 15 - -
- 16 BY MS. FURST:
- 17 Q. So, Mr. Hoffman, you mentioned a stormwater
- 18 project that was bid through PennDOT but owned by the
- 19 City of Philadelphia?
- 20 A. Yes. That's a local match situation. They do it
- 21 quite often where PennDOT will bid the project, but
- 22 ultimately the contract is with the City, executed by
- 23 the City.
- 24 Q. Understood. Do you remember approximately when
- 25 you bid on this project?

- 1 A. It was winter of last year, 2019, 2020. I do not
- 2 recall the exact date. It was over the winter.
- 3 Q. Do you remember anything about the contract
- 4 amount?
- 5 A. Approximately 1.3, 1.4 million.
- 6 Q. And do you remember anything about what the
- 7 project was called?
- 8 A. I do not. I believe it was 52nd Street in West
- 9 Philadelphia, was the location. PennDOT would have
- 10 assigned an SR number, and I don't remember that off the
- 11 top of my head.
- 12 Q. Okay. And do you recall, was this the
- 13 Philadelphia Water Department?
- 14 A. I believe the contract was to be executed by the
- 15 City of Philadelphia. I don't know the particular
- 16 division that was handling the job.
- 17 Q. Okay. And then you mentioned another project
- 18 that you recalled Road-Con bidding on and being the
- 19 lowest responsible bidder.
- 20 What else can you tell me about that project?
- 21 A. The timing of which was some years ago. I'm
- 22 going to say five or six years ago. It was a project
- 23 for the Philadelphia Airport to install safety bollards
- 24 in front of the terminal and reconstruct. It was a
- 25 couple-million-dollar project.

- 1 Same situation back then, we were low bidder,
- 2 there was no PLA on the project, so we bid it. We were
- 3 the lowest responsible bidder. The City was not moving
- 4 forward with an award. We inquired about it. They said
- 5 they were having some internal issues.
- 6 We went down for a scope meeting to review the
- 7 project, and during the meeting, off the record, they
- 8 had said they really did not want to award the project
- 9 to us. And at that time, we let the award expire, we
- 10 did not fight that situation.
- 11 Q. Was a reason given as to why the City did not
- want to award the project to Road-Con?
- 13 A. Verbally, off the record, I asked them to let me
- 14 know if there was a problem, and verbally, off the
- 15 record, he said we were not of the right union type
- 16 and it was causing some internal friction inside the
- 17 City.
- 18 Q. And do you have any recollection of who you spoke
- 19 to at the airport about this?
- 20 A. No. It was a meeting between myself, my
- 21 estimator, project manager that handled the job and
- 22 about three folks from the City.
- 23 Q. And, Mr. Hoffman, did you look at any documents
- 24 in preparation for your deposition?
- 25 A. Today, just my affidavit.

- 1 Q. Okay. And what about previous to today, did you
- 2 look at any documents in preparation for your
- 3 deposition?
- 4 A. No.
- 5 Q. Okay. And you stated that you sort of refreshed
- 6 your recollection about some things while we just took a
- 7 break; is that right?
- 8 A. Yes. Yes. In particular, I was looking -- I
- 9 knew we had bid more than just the two projects for the
- 10 City over the course of the last year, and I had not
- 11 pulled it together as quick as I would have liked to
- 12 while we were going through the questions.
- 13 Q. Okay. And did you remember any other projects
- 14 that Road-Con bid on over the past several years?
- 15 A. They were the only two that came to mind. We
- 16 actually do have one or two currently on our bid list to
- 17 bid on over the upcoming weeks.
- 18 MS. FURST: Okay. Just one second.
- 19 Let me just check one thing.
- 20 (Pause.)
- Okay. That's all.
- 22
- 23 RECROSS-EXAMINATION
- _ _ _
- 25 BY MR. ZIMOLONG:

- 1 Q. This is Wally Zimolong. It's not usual I ask my
- 2 own witnesses some questions at the deposition, but I
- 3 just wanted to follow up on a couple points to clarify
- 4 the record.
- 5 Mr. Hoffman, you testified that Road-Con bid on
- 6 a project that was going to be -- the contract was going
- 7 to be signed by the City of Philadelphia, and you did
- 8 that, or Road-Con did that in the winter of 2019,
- 9 correct?
- 10 A. That's correct.
- 11 O. And that -- would it have been in and around
- 12 December of 2019, sound about correct?
- 13 A. It's about correct, yes. I'd have to pull the
- 14 records out to find the actual bid date.
- 15 Q. And that was -- that bid was submitted during the
- 16 pendency of this litigation, correct?
- 17 A. Yes.
- 18 Q. Okay. And Road-Con was the lowest responsible
- 19 bidder on that project, correct?
- 20 A. Correct.
- 21 Q. Okay. And 60 days expired, but the City of
- 22 Philadelphia never presented a contract to Road-Con,
- 23 correct?
- 24 A. That's correct.
- 25 Q. And on a previous occasion, Road-Con bid on a

Page 38 project owned by the City of Philadelphia Airport in 1 2. which a similar circumstance occurred, correct? 3 Correct. 4 And there were representatives of the City of Philadelphia that told you the reason why Road-Con was not presented a contract was because of its union 6 affiliation, correct? 8 Α. Correct. 9 MR. ZIMOLONG: Okay. I don't have 10 anything else for the witness. Thank you. 11 Are we all done? Is the witness 12 done? Lydia? Michael? 13 MR. WEINERT: I have nothing further. 14 15 MS. FURST: Yeah, I have nothing further. 16 17 MR. ZIMOLONG: Thank you. Thanks, 18 Al. Have a good day. 19 20 (Deposition concluded at 10:07 21 a.m.) 22 23 2.4 25

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2	CERTIFICATION
3	
4	
5	I, hereby certify that the proceedings and
6	evidence noted are contained fully and accurately in the
7	stenographic notes taken by me in the foregoing matter,
8	and that this is a correct transcript of the same.
9	
10	
11	
12	
13	Court Reporter - Notary Public
14	
15	
16	(The foregoing certification of this
17	transcript does not apply to any reproduction of the
18	same by any means, unless under the direct control
19	and/or supervision of the certifying reporter.)
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